

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment		GRANT NUMBER (FAIN): 99257415	DATE OF AWARD 09/28/2016	
			MODIFICATION NUMBER: 1 PROGRAM CODE: BG		
			TYPE OF ACTION Augmentation: Increase		MAILING DATE 10/05/2016
			PAYMENT METHOD: Advance		ACH# 20040
RECIPIENT TYPE: State			Send Payment Request to: Las Vegas Finance Center		
RECIPIENT: NYS Dept of Environmental Conservation 625 Broadway Albany, NY 12233-5010 EIN: 14-6013200			PAYEE: NYS Dept of Environmental Conservation 625 Broadway Albany, NY 12233-5010		
PROJECT MANAGER Peter Freehafer 625 Broadway Albany, NY 12233-5010 E-Mail: peter.freehafer@dec.ny.gov Phone: 518-402-8219		EPA PROJECT OFFICER Martha Isaac 290 Broadway, CWD New York, NY 10007-1866 E-Mail: Phone: 212-637-3761		EPA GRANT SPECIALIST Cynthia Pabon REG; 02, OPM/GAMB E-Mail: Pabon.Cynthia@epa.gov Phone: 212-637-3405	
PROJECT TITLE AND EXPLANATION OF CHANGES NYSDEC SFY 16/17 PPG This action will increase the federal obligated amount by \$1,850,817 from \$8,578,469 to \$10,429,286. Also, the approved federal total is increased from \$12,471,469 to \$12,649,638 and the recipient's cost share is decreased by \$7,662. This amendment includes \$189,661 in multipurpose funding allocated for state identified priorities. These revisions are in accordance with NYSDEC's applications dated June 17, 2016 and July 29, 2016. Grant Specific Programmatic Condition A, Conditional Award, has been satisfied. All other terms and conditions remain the same.					
BUDGET PERIOD 04/01/2016 - 03/31/2017	PROJECT PERIOD 04/01/2016 - 03/31/2021	TOTAL BUDGET PERIOD COST \$20,787,184.00	TOTAL PROJECT PERIOD COST \$20,787,184.00		
NOTICE OF AWARD					
Based on your Application dated 07/29/2016 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$1,850,817. EPA agrees to cost-share 60.85% of all approved budget period costs incurred, up to and not exceeding total federal funding of \$10,525,286. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA regulatory and statutory provisions, all terms and conditions of this agreement and any attachments.					
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)		AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS Grants and Audit Management Branch 290 Broadway, 27th Floor New York, NY 10007-1866		ORGANIZATION / ADDRESS U.S. EPA, Region 2 Clean Water Division 290 Broadway New York, NY 10007-1866			
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY					
Digital signature applied by EPA Award Official Richard Manna - Assistant Regional Administrator for Policy and Management				DATE 09/28/2016	

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FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 8,578,469	\$ 1,850,817	\$ 10,429,286
EPA In-Kind Amount	\$ 96,000	\$	\$ 96,000
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 8,145,208	\$ -7,662	\$ 8,137,546
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 16,819,677	\$ 1,843,155	\$ 18,662,832

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.605 - Performance Partnership Grants	Appropriations Act of 1996 (PL 104-134) Appropriations Act of 1998 (PL 105-65)	2 CFR 200 2 CFR 1500 40 CFR 33 and 40 CFR 35 Subpart A

Fiscal									
Site Name	Req No	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
-	1602HE0374	16	E1	02N2	102A20	4108	-	-	189,661
-	1602HE0374	15	E1	02N2	202B01	4108	-	-	8
-	1602HE0374	15	E1D	02N2	202B06	4108	-	-	2,452
-	1602HE0374	16	E1	02N2	202B06	4108	-	-	1,658,696
									1,850,817

Budget Summary Page: NYSDEC's SFY 16/17 PPG

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$7,748,054
2. Fringe Benefits	\$4,231,213
3. Travel	\$273,000
4. Equipment	\$136,500
5. Supplies	\$455,000
6. Contractual	\$4,664,692
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$17,508,459
10. Indirect Costs: % Base	\$3,278,725
11. Total (Share: Recipient <u>39.15 %</u> Federal <u>60.85 %</u>.)	\$20,787,184
12. Total Approved Assistance Amount	\$12,649,638
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$1,850,817
15. Total EPA Amount Awarded To Date	\$10,525,286

Administrative Conditions

All Administrative Conditions Remain the Same

Programmatic Conditions

GRANT SPECIFIC PROGRAMMATIC TERMS AND CONDITIONS

Condition A has been met. All other Programmatic Conditions remain the same.